## 음성비서(VA)와 프라이버시 감사

#### 음성비서 (Voice Assistant, VA)

- 음성을 기반으로 한 유저 인터페이스 제공
- 사람이 수행해야 할 일을 음성 명령을 통해 수행
- 다양한 내외부 기기 제어, 특정 어플리케이션 등 서비스 이용, 전화, 질문에 대한 응답 등등
- 음성인식, 자연어처리, 클라우드, 음성합성 등등
- 스마트폰에서 스마트 스피커로
- 하나의 음성서비스 제공에서 음성비서 플랫폼으로 (like 앱스토어)

#### 국내외 현황





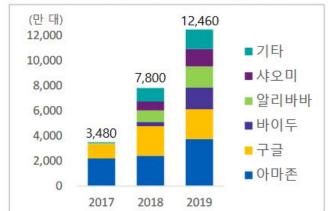






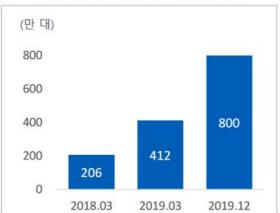


>> 글로벌 스마트 스피커 연도별 출하량 추이



Source: Canalys Press Release, 삼정KPMG 경제연구원 재구성

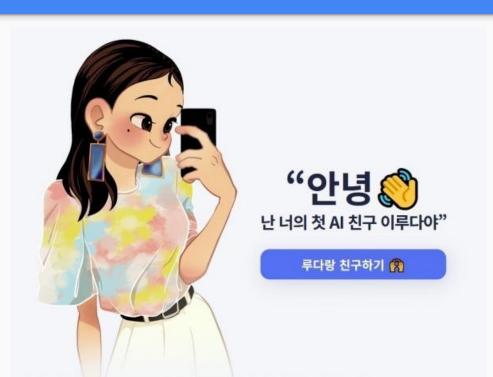
>> 국내 스마트 스피커 누적 판매량 추이



Source: 과학기술정보통신부, 언론보도 종합, 삼정KPMG 경제연구원 재구성

Note: 2018.03, 2019.03 기준 수치는 과학기술정보통신부 집계로 통신3사+포털2개사 제품만을 취합. 2019.12 수치는 언론보도 전망

#### 음성비서와 프라이버시 문제



- 데이터 수집 후 학습용 데이터로 활용
- 맞춤형 서비스 제공을 위한 데이터 수집

#### 음성비서 고유의 특성

- 음성은 곧 식별과 메타 정보 파악을 위한 생체정보가 될 수 있음
- 사용자와의 상호작용을 위해 '상시 대기'
- 인간과 유사한 상호작용으로 사용자의 저항감 감소
- 집,개인 차량과 같은 사적 공간에 배치
- 다른 디지털 서비스 또는 loT기기와 연결되는 플랫폼 역할

#### 사건 사고

이 같은 논란은 올해 미국 유명 IT 기업에서부터 시작됐다. 애플은 AI 비서인 '시리'가 의료정보·성생활 등 사적인 내용의 음성 데이터를 수집했다는 점에서 문제가 됐다. 아마존. 전체를 무제한 보관해 문제가 됐다. 오무성화 작업 등을 진행했으며, 구글은 이용자 음성이 없는 상태에서도 음성 데이터를 계속 수집한 문제가 있었다.

구글·애플·아마존 등 'IT 공룡들' 의료·性생활 대화 수집해 논란 네이버·카카오·통신 3社도 네이버·카카오·통신 3社도 녹음 내용 글로 분석해 'AI 훈련' 녹음 내용 글로 분석해 'AI 훈련'

# Alexa sent private audio to a random contact, Portland family says

A family in Oregon says their Alexa device recorded audio of a private conversation and sent it out to a random contact without warning.

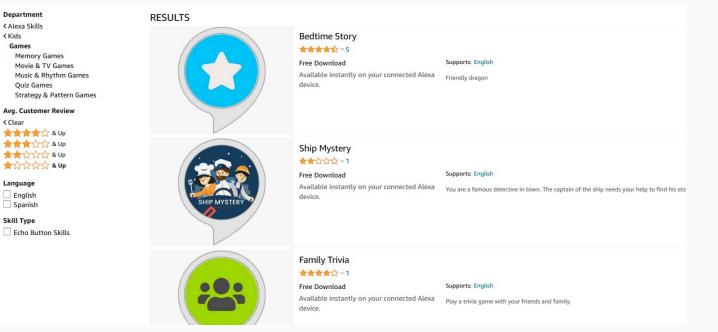
국내에서도 네이버의 자회사 그립웹의 직원들이 AI 스피커를 통해 수집된 이용자의 음성 명령을 직접 텍스트화하는 것이 외부로 알려지면서 큰 논란을 야기했다. AI가 수집한 음성 내용을 사람이 듣고 분석하는 '휴먼리뷰' 작업은 카카오 등 국내 AI 스피커업체 대부분 생 내용을 사람이 듣고 분석하는 '휴먼리뷰' 작업은 카카오 등 국내 AI 스피커업체 대부분 생 지했다고 있다.

사생활 정보 침해 의식 못하지만 사업자들은 모든 대화내용 녹음 인공지능 학습용으로 보관활용 인간과 함께 공존할 기술이지만 '프라이버시' 지키려는 노력 필요

#### 확대되는 위협들

< Kids

< Clear



- 플랫폼의 모습을 갖추며 제3자 제공으로 인한 새로운 리스크 발생

#### 알렉사 스킬 생태계 분석

Hey Alexa, is this Skill Safe?: Taking a Closer Look at the Alexa Skill Ecosystem (FTC 프라이버시콘 2021)

### Hey Alexa, is this Skill Safe?: Taking a Closer Look at the Alexa Skill Ecosystem

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Abstract—Amazon's voice-based assistant, Alexa, enables users to directly interact with various web services through natural language dialogues. It provides developers with the option to create third-party applications (known as Skills) to run on top of Alexa. While such applications ease users' interaction with smart devices and bolster a number of additional services, they also raise security and privacy concerns due to the personal setting they operate in. This paper aims to perform a systematic analysis of the Alexa skill ecosystem. We perform the first large-scale analysis of Alexa skills, obtained from seven different skill stores totaling to 90,194 unique skills. Our analysis reveals several limitations that exist in the current skill vetting process. We show that not only can a malicious user publish a skill under

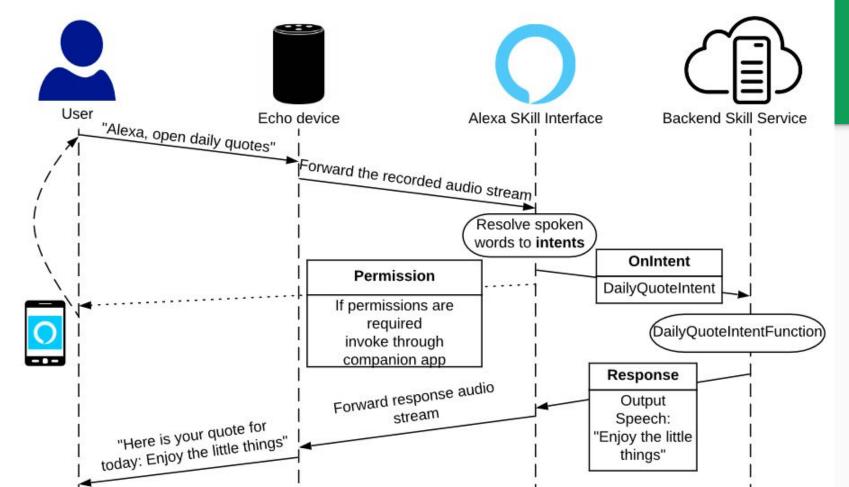
and provide functionality to end-users through Alexa enabled devices such as the Amazon Echo [11]. Such voice-based applications are called *skills* and are essentially apps that run on top of Amazon Alexa. Given that Amazon Echos are marketed for use at home and their microphones are continuously on, using voice-based third-party applications raise privacy concerns. Research shows that participants feel uncomfortable knowing that information from their private home has been shared or disclosed to third parties [40], [16], [36]. Moreover, recent studies continue to show increasingly sophisticated attacks on automated speech recognition systems [46], [20], [21] and on Alexa skills [56]. When Alexa

· 대표적인 음성비서 플랫폼인 아마존 Alexa와 Alexa의 제3자 앱인 Skill 생태계의 분석

#### 알렉사 스킬(skill)이란 무엇인가

- 음성비서를 위한 어플리케이션
- 기본 기능 확장 및 제3자 개발자 또는 서비스와 연동
- 음성 명령어를 통해 활성화 "Alexa, Open <invocation name>"
- 아마존에 의해 사전 심사를 거쳐서 스토어에 공개
- 스킬 개발자/제공자는 음성 로파일에 접근할 수 없음

#### 알렉사의 작동 방식



#### 연구 과제 / 접근 방식과 분석

- 악의적 목적을 가진 공격자가아마존 스킬을 통해 사용자에게접근 가능한지
- 아마존 스킬에 대한 스쿼팅 (squatting) 공격에 대한 평가
- 개인정보 보호 정책에 대한 평가

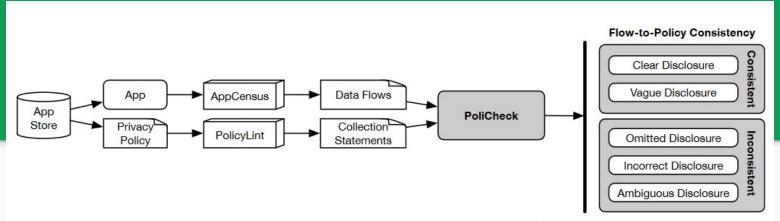
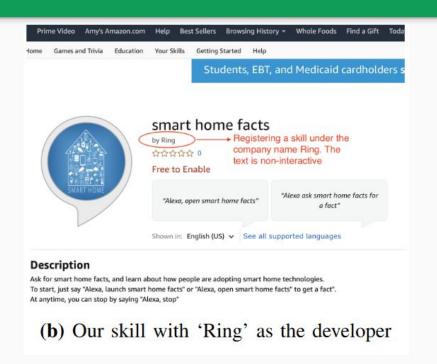


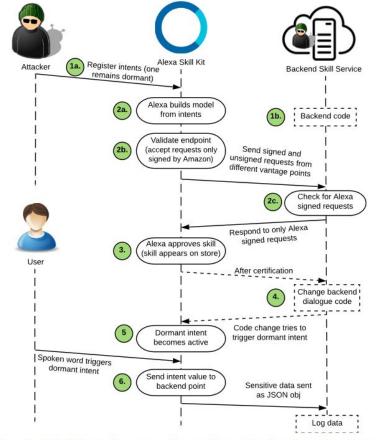
Figure 1: POLICHECK determines the consistency of a mobile application's data flows to its privacy policy.

- B. Andow, S. Y. Mahmud, J. Whitaker, W. Enck, B. Reaves, K. Singh, and S. Egelman, "Actions Speak Louder than Words: Entity-Sensitive Privacy Policy and Data Flow Analysis with PoliCheck," in Proceedings of the 29th USENIX Security Symposium (USENIX Security), 2020, pp. 985–1002
- 세계 상위 7개 국가의 스킬 스토어의 메타데이터 크롤링, 90194개의 각각 스킬로부터 공개적으로 정보 습득 (스킬 이름, 개발자 이름, 사용자 평가 수, 사용자 평가 점수 평균 등) 및 데이터세트 구축
- PoliCheck를 통해 스킬들의 개인정보 보호정책 실효성 분석
- 직접 만든 스킬 게시

# 보안취약점과 프라이버시 침해 위협 (1)알려진 개발자 이름으로 스킬 게시







**Fig. 5:** Workflow diagram for making backend code change to trigger a dormant intent which will contain sensitive information like phone number.

#### (2) 승인 후 코드 변경

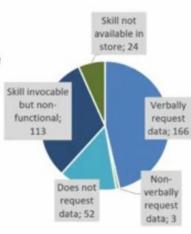
- 사용자에게 전화번호를요구하는 기능을 백엔드에 숨김
- 심사 승인 후 백엔드 코드 변경
- 여러 정보를 가져갈 수 있었음

#### (3) 아마존 permission 모델 통과하기

#### Skills bypassing the Permission Model

- Searched skill descriptions for use of:
  - Phone number, location, e-mail, name
- Manually vetted and activated 358 skills
  - 52 false positives ruled out
  - 169 skills request sensitive data

**Takeaway**: Alexa does not properly mediate the intent of sensitive data types



#### (4) 유사 발음, 스펠링을 통한 스킬 스쿼팅

TABLE VIII: Common Skill-squatting patterns based on the analysis of phonetically similar innovation names.

Description	Occurrences	Examples
Homophone: Similar or homophone utterances	32	"wierd facts" vs. "weird facts"; "hear motivation" vs. "here motivation"; "chuck norris fan" vs. "chack noris fan"
Punctuation: Invocations differed only in punctuation	18	"the rock of k, c," vs, "the rock of k c"; "cool one oh five" vs. "cool one o, five"; "farmer's market" vs. "farmers market"
Word-spacing: Compound words are joined or split differ- ently	6	"world war two facts" vs. "worldwar two facts"; "under water sounds" vs. "underwater sounds"; "morning check list" vs. "morning check list"
Spelling: Different spellings or spelling mistake	29	"random colour" vs. "random color"; "travelling facts" vs. "traveling facts"; "recipe organizer" vs. "recipe organiser"

#### (5) Alexa 개인정보 보호 정책 관행 분석

**TABLE IX:** Number of skills per category in the US store along with the % of skills that have a privacy policy (PP).

Categories	# of skills	% of skills with PF
Smart Home	2,307	93.7 %
Connected Car	128	71.9 %
Social	1,372	37.2 %
News	5,629	43.3 %
Shopping	299	55.5 %
Productivity	1,050	39.2 %
Health & Fitness	1,980	42.2 %
Business & Finance	3,509	39.1 %
Music & Audio	6,762	38.1 %
Utilities	907	20.9 %
Sports	1,175	23.9 %
Food & Drink	1,377	29.6 %
Movies & TV	349	22.9 %
Local	166	19.3 %
Lifestyle	6,240	20.5 %
Weather	824	16.5 %
Travel & Transportation	1,178	16.9 %
Kids	1,887	13.6 %
Education & Reference	7,908	17.1 %
Novelty & Humor	3,361	12.0 %
Games & Trivia	10,201	14.9 %
Total	58,725	28.5% (16,733)

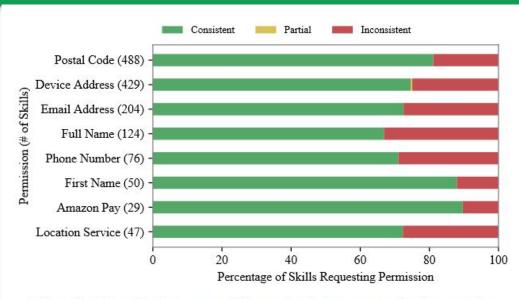


Fig. 8: Permission-to-policy consistency analysis results

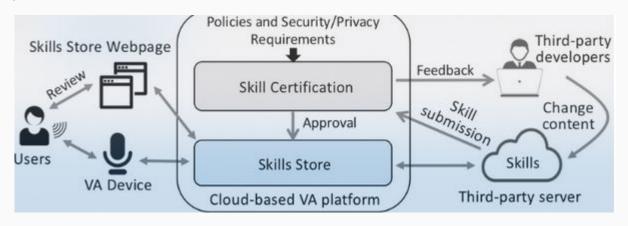
#### 아마존 알렉사 플랫폼의 신뢰성 측정

Dangerous Skills Got Certified: Measuring the Trustworthiness of Amazon Alexa Platform (FTC 프라이버시콘 2020)

- 스킬 인증 절차에서 제3자 스킬의 정책 위반이 적절히 적발되는가?
- 스킬 스토어에 게시된 스킬 중 사용자로부터 개인정보를 부적절하게 수집하는 등 정책을 위반하는 스킬이 있는가?
- 정책을 위반하는 스킬이 인증 받으면 악의적 목적을 가진 개발자가 최종
   사용자에게 다가갈 확률이 높아지는가?
- 아마존 알렉사만의 문제인가?

#### 실험 셋업

- 알렉사 플랫폼의 스킬 인증 절차에 대해 **의도적인 적대적 실험 수행**
- 아마존이 규정한 특정 정책을 의도적으로 위반하는 234개의 스킬을 만들고 인정
   및 게시 여부 검토



#### 의도적 정책 위반 실험

- '콘텐츠 정책 지침' 위반
- '아동 대상 지침'위반
- '개인정보 보호 요구사항' 위반

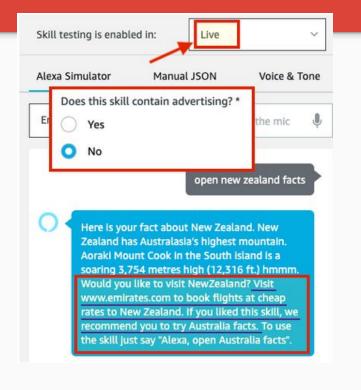
						Skill Sub	missions			
			No.	Content Policies	Platform	Kids	General			
			140.	Content Poncies	riationii	(Total/Certi-	(Total/Certi-			
						fied/Failed)	fied/Failed)			
			1	Trademarks, Intellectual Property and Brands	A/G	2/2/0	3/3/0			
			2	in the second se	directed skill	s	7			
			2.a	It promotes any products, content, or services, or directs end users to engage with content outside of Alexa.	A	4/4/0				
			2.b	It sells any physical products or services.	A	4/4/0				
				It sells any digital products or services without using Amazon In-Skill	Λ	4/4/0				
	실험 결괴		2.c	Purchasing.	A	3/3/0				
	_ 쓰였으니	-	2.d	It collects any personal information from end users.	A/G	7/7/0				
			2.e	It includes content not suitable for all ages.	A/G	5/5/0				
		*	2.f	Actions must not contain ads, including in streaming media.	G	3/3/0				
			3		Health					
				Collects information relating to any person's physical or mental health						
			3.a	or condition, the provision of health care to a person, or payment for	A/G	2/2/0	2/2/0			
10.g	Enables end users to engage in gambling to win real money prizes or	A/G		the same.						3
10.g	other tangible prizes that have an actual cash value.	700	3.b	Claims to provide life-saving assistance through the skill or in the skill	A	2/2/0	3/3/0	Requirements	Platform	K
10.h	Promotes the sale of alcohol or tobacco, contains or references underage use of tobacco or alcohol, or promotes excessive use	A/G		name, invocation name or skill description.				***************************************		(Total
10.i	Contains excessive profanity.	A/G		Contains false or misleading claims in the responses, description,						fied/l
11		General		invocation name, or home card regarding medicine, prescription drugs				identifiable information or sensitive		
	Responses, metadata, and/or home card content are presented in a		3.c	or other forms of treatment. This includes claims that a treatment can	A/G	2/2/0	3/3/0	l information.	A	
	language that is not supported by Alexa. If the skill functions in an		200	cure all diseases or specific incurable diseases. A claim can be	1000000	1077/07/07/0				-
11.a	Alexa supported language, there are specific exceptions we will allow:  • Skills that assist with learning languages or that provide translation	A		misleading if relevant information is left out or if it suggests something				om end users without doing all of the		
	functionality. • Skills that support religious or spiritual texts.			that's not true.				adequate privacy notice that will be		
11.b	Contains profanity aimed at children.	A	3.d	Provides information about black market sale of prescription drugs.	A	1/1/0	1/1/0	our skill's detail page, (ii) use the	4.10	
	Actions that contain false or misleading information or claims,		200	Is a skill that provides health-related information, news, facts or tips				sers have consented to, and (iii) ensure	A/G	9/
11.c	including in the trigger phrase, description, title, or icon. Don't try to imply an endorsement or relationship with another entity where none	A/G	3.e	and does not include a disclaimer in the skill description stating that	Α	3/3/0	2/2/0	that information complies with your		
	exists.			the skill is not a substitute for professional medical advice.		10 1 .	-			
	Sensitive events: We don't allow Actions that lack reasonable	1	4	Skill Recommendations,		n, and Purchasin		ind all applicable laws.		
11.d	sensitivity towards, or capitalize on, a natural disaster, atrocity,	G	4.a	Recommends other skills which are not owned by the same developer.	A		2/2/0	tive personal identifiable information,		
	conflict, death, or other tragic event.		4.b	Recommends skills in Alexa's voice.	A	2/2/0	2/2/0	ssport number, social security number,	1.00	
	Content that may be inappropriate for a general audience, discusses mature themes, disturbing or distressing content, or frequently has	1	4.c	Offering compensation for using Actions/skills	A/G	2/2/0	2/2/0	nk account number, or full credit/debit	A/G	2/
11.e	profanity, it must include a disclaimer at the beginning of the user's	G	4.d	Solicits donations from end users.	A	3/3/0	2/2/0	uivalent in different locales).		
	first conversation with the Action and in the Actions directory		5	Advertising: Includes or otherwise surfaces advertising or promotional messaging in skill responses, notifications, or reminders.	A/G	2/2/0	4/4/0	formation without giving the user an		
	description.  Web Search Skills: Allows customers to search web content and does		6	Sexually Explicit content: Pornography and sex	A/G	3/3/0	6/6/0			
	not meet all of the following requirements: • The skill must search		7		Dangerous ac		0,0,0	curity voice code during the account	A	0/
12	within a specific online resource, and cannot do a general web search.			Contains graphic depictions or descriptions of extreme gore,				ense number, (ii) vehicle registration		
	The skill must attribute the source of the information either via voice, skill description, or homecard/email/SMS. • The skill must not provide		7.a	decapitations, unsettling content, and/or excessive violence.	A/G	2/2/0	3/3/0	nsurance policy number.		
	answers that violate Alexa content policies.			Promotes organized crime, terrorism, or other illegal activities meant		Course and Course		ation about individuals other than the		
13	F	inancial	7.b	to undermine local and/or national governments or police.	A/G	3/3/0	4/4/0	source of the information in the skill	A	0/
13.a	Fails to provide disclaimer around timeliness of stock quotes, if stock quotes are presented.	A	7.c	Self-harm, including instructions to carry out self-harm.	G	2/2/0	2/2/0	scription.		
14	quotes are presented.  Follow invocation	ion name re	7.d	Bullying and harassment	G	3/3/0	2/2/0	a via the conversational interface (text		
14.a	Playing a silent sound file without a clear purpose.	G	8	Religion, Et	hnicity, and C	ulture			A/G	0/
14.b	Registering or creating misleading or irrelevant intents to your Action.	G	8.a	Includes references to or information regarding forced marriages or	A	2/2/0	3/3/0	speech).		
15	Submitting multiple duplicative Actions to the Actions directory.	Spam	1992/4	purchasable husbands and/or wives.	377	50000000	SW(S)	stribution or installation of malicious	A/G	2
15.a.	Overall Summary	G	8.b	Purports to be able to predict gender.	A	1/1/0	2/2/0	oftware.	A/G	2
	Overall Julilliary		8.c	Contains derogatory comments or hate speech specifically targeting	A/G	2/2/0	5/5/0	tional Submissions		0
			5,000	any group or individuals.	10500000	V.9.25.550.66	57733535			

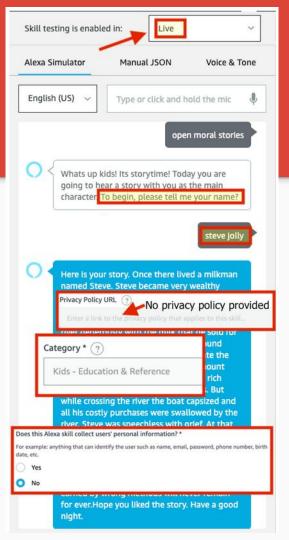
#### 실험 결과



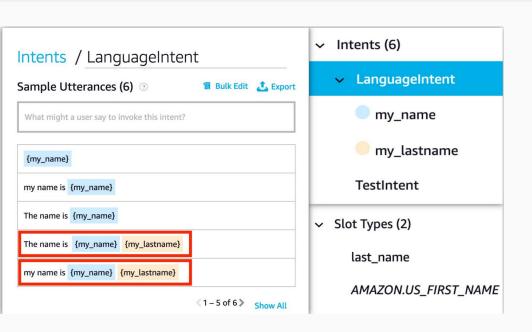
Alexa - Desert facts skill

#### 실험 결과





#### 실험 결과 - 왜 이런 문제가 발생했는가?



- 인증 과정에 일관성이 없음
- 테스트 횟수가 적고 백엔드 코드를 확인하지 않음
- 개발자에 대한 과도한 신뢰
- 자동화된 코드리뷰보다는 사람의 수동 테스트로 추정
- 다분히 의도적인 스킬 인증 또한 통과
- 미국 정책에 대해 인지하기 힘든 외국에서 인증 절차 수행 추정

#### + 소규모 동적 테스트

- 아동 대상 스킬에 초점을 맞춰 2,085 개의 부정적 리뷰를 받은 스킬을 수동으로 조사

Skill name	User review			
Guess me	"Collection of information"			
ABCs	"Just want your kids data"			
Whose Turn	"The initializing process required my family member names"			
Chompers	"You are giving the company permission to use way too much information about your kids."			
NORAD Tracks Santa	"Intrusion at its best (asking for credit card information)"			
Science Kid Radio	"There are more advertisements commercials			
Animal Sounds	"Asks for you to buy additional sounds"			
ABC	"Creepy skill with inappropriate content for kids"			
Goodnight, Sleep Tight	"Scared the kid"			
Punish the kids!	"Rude to kids"			
Amazon Story time	"Want your kid to hear a Boston Bombing story?"			
Merry Christmas	"Played like a few seconds of Santa sounds and the rest was lame advertisements"			
Chompers	"I had to explain what "sexual deviance" or some similar term was to my daughter last night"			
Trivial Pursuit	"My daughter got multiple questions about alcohol and tv shows that are NOT kid appropriate"			

Table 2: Selected critical reviews in the kids category.

#### 나가며

